

Section 3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuvranshu Pokharival et al.
 Serial No.: 09/844,288
 Filed : April 27, 2001
 Title : SPEECH RECOGNITION FRAMEWORK

Art Unit: Unknown
 Examiner: Unknown

BOX MISSING PARTS

Commissioner for Patents
 Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 28, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$710;
- ☒ Payment of the additional/multiple dependent claims fees of \$180;
- ☒ Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Applicant hereby petitions under 37 C.F.R. §1.136 for a 1 month extension of time \$110.
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 27, 2001

Date of Deposit

Signature

R. P. Ippolito

Roxanne Ippolito

Typed or Printed Name of Person Signing Certificate

10/04/2001 SDIRETA1 00000097 09844288

01 FC:115

110.00 OP

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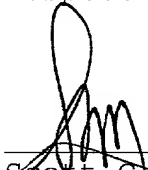
☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and

☒ Other: Substitute Drawings (5 sheets)

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

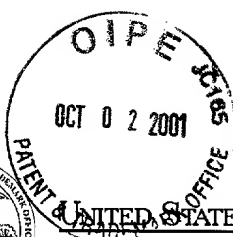
Date: 4/27/01



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,288	04/27/2001	Shuvranshu Pokhariyal	10559/408001/P10345

CONFIRMATION NO. 9993

FORMALITIES LETTER



OC000000006236084

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10/04/2001 SDIRETA1 00000097 061050 09844288

02 FC:101 740.00 OP
03 FC:105 130.00 OP
04 FC:103 30.00 CH 150.00 OP

Date Mailed: 06/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 SDIRETA1 00000097 061050 09844288

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1020.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/11/2004 10:04